CS 422/522 Design & Implementation of Operating Systems

Lectures 6-8: Synchronization

Zhong Shao Dept. of Computer Science Yale University

1

Independent vs. cooperating threads

- Independent threads
 - no state shared with other threads
 - deterministic --- input state determines result
 - reproducible
 - scheduling order does not matter
 - still not fully isolated (may share files)
- Cooperating threads
 - shared state
 - non-deterministic
 - non-reproducible

Non-reproducibility and non-determinism means that bugs can be intermittent. This makes debugging really hard!

Example: two threads, one counter

- ◆ A web site gets millions of hits a day. Uses multiple threads (on multiple processors) to speed things up.
- ◆ Simple shared state error: each thread increments a shared counter to track the number of hits today:

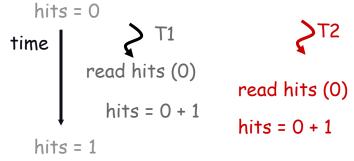
```
...
hits = hits + 1;
...
```

 What happens when two threads execute this code concurrently?

3

Problem with shared counters

◆ One possible result: lost update!



- One other possible result: everything works.
 - Bugs are frequently intermittent. Makes debugging hard.
 - This is called "race condition"

Race conditions

- ◆ Race condition: timing dependent error involving shared state.
 - whether it happens depends on how threads scheduled
- *Hard* because:
 - must make sure all possible schedules are safe. Number of possible schedules permutations is huge.

```
if(n == stack_size) /* A */
    return full; /* B */
stack[n] = v; /* C */
n = n + 1; /* D */
```

- * Some bad schedules aaccdd, acadcd, ... (how many?)
- they are intermittent. Timing dependent = small changes (adding a print stmt, different machine) can hide bug.

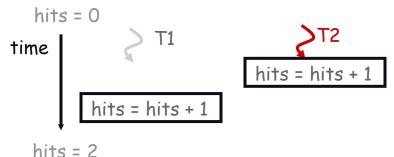
5

More race condition example:

- · Who wins?
- · Guaranteed that someone wins?
- What if both threads on its own identical speed CPU executing in parallel? will it go on forever?

Preventing race conditions: atomicity

- ◆ atomic unit = instruction sequence guaranteed to execute indivisibly (also, a "critical section").
 - * If two threads execute the same atomic unit at the same time, one thread will execute the whole sequence before the other begins.



♦ How to make multiple inst's seem like one atomic one?

7

Synchronization motivation

- When threads concurrently read/write shared memory, program behavior is undefined → race conditions
 - Two threads write to the same variable; which one should win?
- ◆ Thread schedule is non-deterministic
 - Behavior changes when re-run program
- ◆ Compiler/hardware instruction reordering
- ◆ Multi-word operations are not atomic

Question: can this panic?

Thread 1

p = someComputation(); pInitialized = true;

Thread 2

while (!pInitialized)
;
q = someFunction(p);
if (q != someFunction(p))
 panic

9

Why reordering?

- Why do compilers reorder instructions?
 - Efficient code generation requires analyzing control/data dependency
 - If variables can spontaneously change, most compiler optimizations become impossible
- Why do CPUs reorder instructions?
 - Write buffering: allow next instruction to execute while write is being completed

Fix: memory barrier

- Instruction to compiler/CPU
- All ops before barrier complete before barrier returns
- No op after barrier starts until barrier returns

Example: the Too-Much-Milk problem

| | Person A | Person B |
|------|-----------------------------|-----------------------------|
| 3:00 | Look in fridge. Out of milk | |
| 3:05 | Leave for store | |
| 3:10 | Arrive at store | Look in fridge. Out of milk |
| 3:15 | Buy milk | Leave for store |
| 3:20 | Arrive home, put milk away | Arrive at store |
| 3:25 | · | Buy milk |
| 3:30 | | Arrive home, put milk away |
| | | Oh no! |

Goal: 1. never more than one person buys

2. someone buys if needed

11

Too much milk: solution #1

- ◆ Basic idea:
 - leave a note (kind of like "lock")
 - remove note (kind of like "unlock")
 - don't buy if there is a note (wait)

```
if (noMilk) {
    if (noNote) {
        leave Note;
        buy milk;
        remove Note
    }
}
```

Why solution #1 does not work?

```
Thread B
        Thread A
        if (noMilk) {
3:00
          if (noNote) {
3:05
                                        if (noMilk) {
3:10
                                          if (noNote) {
3:15
                                              leave Note;
             leave Note;
3:20
                                              buy milk;
3:25
             buy milk;
                                              remove Note } }
             remove Note}}
3:30
```

Threads can get context-switched at any time!

13

Too much milk: solution #2

```
Thread A

leave NoteA

if (noNoteB) {

    if (noMilk)

        buy milk

}

remove NoteA

Thread B

leave NoteB

if (noNoteA) {

    if (noMilk)

    buy milk

    }

remove NoteB
```

Problem: neither thread to buy milk --- think other is going to buy --- **starvation!**

Too much milk: solution #3

Thread A

leave NoteA
while (NoteB) // X
do nothing;
if (noMilk)
buy milk;
remove NoteA

Thread B leave NoteB if (noNoteA) { // Y if (noMilk) buy milk; } remove NoteB

Either safe for me to buy or others will buy!

It works but:

- \cdot it is too complex
- · A's code different from B's (what if lots of threads?)
- · A busy-waits --- consumes CPU!

15

A better solution

- ◆ Have hardware provide better primitives than atomic load and store.
- ◆ Build higher-level programming abstractions on this new hardware support.
- Example: using locks as an atomic building block

Acquire --- wait until lock is free, then grabs it Release --- unlock, waking up a waiter if any

These must be atomic operations --- if two threads are waiting for the lock, and both see it is free, only one grabs it!

Too much milk: using a lock

It is really easy!

lock -> Acquire();
if (nomilk)
 buy milk;
lock -> Release();

What makes a good solution?

- Only one process inside a critical section
- No assumption about CPU speeds
- Processes outside of critical section should not block other processes
- No one waits forever
- Works for multiprocessors

Future topics:

- hardware support for synchronization
- high-level synchronization primitives & programming abstraction
- how to use them to write correct concurrent programs?

17

A few definitions

- Sychronization:
 - using atomic operations to ensure cooperation between threads
- Mutual exclusion:
 - ensuring that only one thread does a particular thing at a time. One thread doing it excludes the other, and vice versa.
- Critical section:
 - piece of code that only one thread can execute at once. Only one thread at a time will get into the section of code.
- ◆ Lock: prevents someone from doing something
 - lock before entering critical section, before accessing shared data
 - unlock when leaving, after done accessing shared data
 - wait if locked

A quick recap

We talked about critical section

```
Acquire(lock);
if (noMilk)
buy milk;
Release(lock);

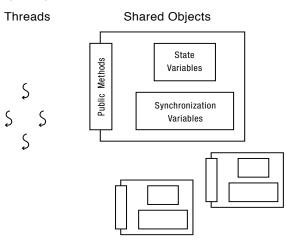
Critical section
```

- We also talked about what is a good solution
 - Only one process inside a critical section
 - No assumption about CPU speeds
 - Processes outside of critical section should not block other processes
 - No one waits forever
 - Works for multiprocessors

19

How to write concurrent programs?

Use shared objects (aka concurrent objects) --- always encapsulate (hide) its shared state



The big picture

Shared Objects

Bounded Buffer Barrier

Synchronization Variables

Semaphores Locks Condition Variables

Atomic Instructions

Interrupt Disable Test-and-Set

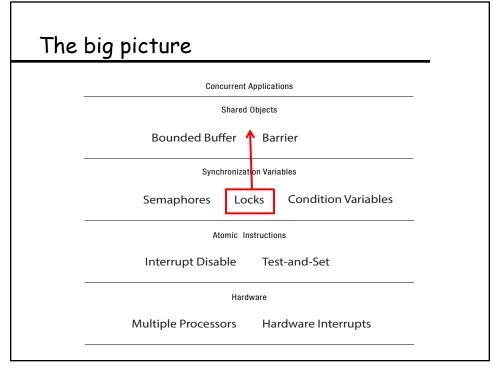
Hardware

Multiple Processors Hardware Interrupts

21

The big picture (cont'd)

- Shared object layer: all shared objects appear to have the same interface as those for a single-threaded program
- Synchronization variable layer: a synchronization variable is a data structure used for coordinating concurrent access to shared state
- Atomic instruction layer: atomic processor-specific instructions



23

Locks

- ◆ Lock::acquire
 - wait until lock is free, then take it
- ◆ Lock::release
 - release lock, waking up anyone waiting for it
- 1. At most one lock holder at a time (safety)
- 2. If no one holding, acquire gets lock (progress)
- 3. If all lock holders finish and no higher priority waiters, waiter eventually gets lock (progress)

Question: why only Acquire/Release

- ◆ Suppose we add a method to a lock, to ask if the lock is free. Suppose it returns true. Is the lock:
 - Free?
 - Busy?
 - Don't know?

25

Lock example: malloc/free

```
char *malloc (n) {
    heaplock.acquire();
    p = allocate memory
    heaplock.release();
    return p;
}
```

```
void free(char *p) {
    heaplock.acquire();
    put p back on free list
    heaplock.release();
}
```

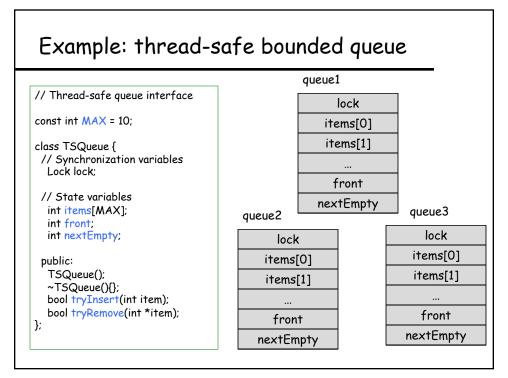
Rules for using locks

- ◆ Lock is initially free
- Always acquire before accessing shared data structure
 - Beginning of procedure!
- Always release after finishing with shared data
 - End of procedure!
 - Only the lock holder can release
 - DO NOT throw lock for someone else to release
- Never access shared data without lock
 - Danger!

27

Will this code work?

```
if (p == NULL) {
    lock.acquire();
    if (p == NULL) {
        p = malloc(sizeof(p));
        p = newP();
    }
    lock.release();
}
use p->field1
newP() {
    p =
    malloc(sizeof(p));
    p->field1 = ...
    p->field2 = ...
    return p;
}
```



29

Example: thread-safe bounded queue

```
// Initialize the queue to empty
// and the lock to free.
TSQueue::TSQueue() {
  front = nextEmpty = 0;
                                                 // Try to remove an item. If the queue
// Try to insert an item.
                                                // is empty, return false;
// If the queue is full, return false;
                                                // otherwise return true.
// otherwise return true.
                                                bool TSQueue::tryRemove(int *item) {
  bool success = false;
bool TSQueue::tryInsert(int item) {
  bool success = false;
                                                   lock.acquire();
  lock.acquire();
                                                   if (front < nextEmpty) {</pre>
  if ((nextEmpty - front) < MAX) {
                                                      *item = items[front % MAX];
     items[nextEmpty % MAX] = item;
     nextEmpty++;
                                                      success = true;
     success = true;
                                                   lock.release();
  lock.release();
                                                   return success;
  return success;
```

Example: thread-safe bounded queue

The lock holder always maintain the following invariants when releasing the lock:

- The total number of items ever inserted in the queue is nextEmpty.
- The total number of items ever removed from the queue is front.
- front <= nextEmpty</pre>
- The current number of items in the queue is nextEmpty front
- nextEmpty front <= MAX

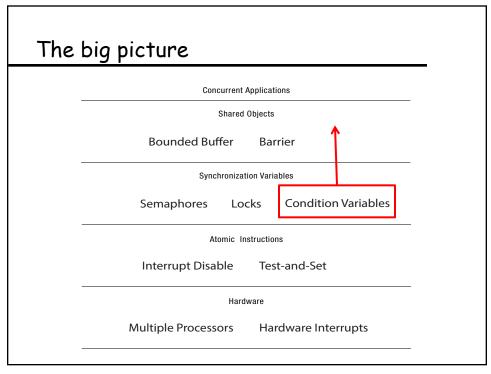
31

Example: thread-safe bounded queue

```
// TSQueueMain.cc
// Test code for TSQueue.
int main(int argc, char **argv) {
  TSQueue *queues[3];
  sthread_t workers[3];
  int i, j;
  // Start worker threads to insert.
  for (i = 0; i < 3; i++) {
     queues[i] = new TSQueue();
     thread_create(&workers[i],
            putSome, queues[i]);
  }
  // Wait for some items to be put.
  thread_join(workers[0]);
  // Remove 20 items from each queue.
  for (i = 0; i < 3; i++) {
     printf("Queue %d:\n", i);
     testRemoval(&queues[i]);
```

```
// Insert 50 items into a queue.
void *putSome(void *p) {
   TSQueue *queue = (TSQueue *)p;
   int i;
   for (i = 0; i < 50; i++) {
      queue->tryInsert(i);
   }
   return NULL;
}

// Remove 20 items from a queue.
void testRemoval(TSQueue *queue) {
   int i, item;
   for (i = 0; i < 20; j++) {
      if (queue->tryRemove(&item))
        printf("Removed %d\n", item);
      else
        printf("Nothing there.\n");
    }
}
```



33

How to use the lock?

- ◆ The lock provides mutual exclusion to the shared data
- Rules for using a lock:
 - Always acquire before accessing shared data structure
 - Always release after finishing with shared data
 - Lock is initially free.
- ◆ Simple example: a synchronized queue

Condition variables

- How to make tryRemove wait until something is on the queue?
 - can't sleep while holding the lock
 - Key idea: make it possible to go to sleep inside critical section, by atomically releasing lock at same time we go to sleep.
- ◆ Condition variable: a queue of threads waiting for something inside a critical section.
 - Wait() --- Release lock, go to sleep, re-acquire lock
 * release lock and going to sleep is atomic
 - Signal() --- Wake up a waiter, if any
 - Broadcast() --- Wake up all waiters

35

Synchronized queue using condition variables

 Rule: must hold lock when doing condition variable operations

```
AddToQueue()
{
    lock.acquire();
    put item on queue;
    condition.signal();
    lock.release();
}
```

Condition variable design pattern

```
methodThatWaits() {
    lock.acquire();

    // Read/write shared state

    while (!testSharedState()) {
        cv.wait(&lock);
    }

    // Read/write shared state

    lock.release();
}
```

```
methodThatSignals() {
    lock.acquire();

    // Read/write shared state

    // If testSharedState is now true
    cv.signal(&lock);

    // Read/write shared state

    lock.release();
}
```

37

Example: blocking bounded queue

```
// Thread-safe blocking queue.
const int MAX = 10;
class BBQ{
// Synchronization variables
  Lock lock;
  CV itemAdded;
  CV itemRemoved;
 // State variables
  int items[MAX];
  int front;
  int nextEmpty;
 public:
  BBQ();
  ~BBQ() {};
  void insert(int item);
  int remove();
```

Example: blocking bounded queue

```
//Wait until there is room and
// then insert an item.

void BBQ::insert(int item) {
    lock.acquire();
    while ((nextEmpty - front) == MAX) {
        itemRemoved.wait(&lock);
    }
    items[nextEmpty % MAX] = item;
    nextEmpty++;
    itemAdded.signal();
    lock.release();
}
```

```
// Wait until there is an item and
// then remove an item.
int BBQ::remove() {
  int item;
  lock.acquire();
  while (front == nextEmpty) {
     itemAdded.wait(&lock);
  item = items[front % MAX];
  front++;
  itemRemoved.signal();
  lock.release();
  return item;
// Initialize the queue to empty,
// the lock to free, and the
// condition variables to empty.
BBQ::BBQ() {
  front = nextEmpty = 0;
```

39

Pre/Post conditions & invariants

- What is state of the blocking bounded queue at lock acquire?
 - front <= nextEmpty
 - front + MAX >= nextEmpty
- These are also true on return from wait
- ◆ And at lock release
- Allows for proof of correctness

Pre/Post conditions & invariants

```
methodThatWaits() {
                                         methodThatSignals() {
  lock.acquire();
                                           lock.acquire();
  // Pre-condition: State is consistent
                                           // Pre-condition: State is consistent
  // Read/write shared state
                                           // Read/write shared state
  while (!testSharedState()) {
                                           // If testSharedState is now true
     cv.wait(&lock);
                                           cv.signal(&lock);
  // WARNING: shared state may
                                           // NO WARNING: signal keeps lock
  // have changed! But
 // testSharedState is TRUE
                                           // Read/write shared state
 // and pre-condition is true
                                           lock.release();
 // Read/write shared state
  lock.release();
}
```

41

Condition variables

- ALWAYS hold lock when calling wait, signal, broadcast
 - Condition variable is sync FOR shared state
 - ALWAYS hold lock when accessing shared state
- Condition variable is memoryless
 - If signal when no one is waiting, no op
 - If wait before signal, waiter wakes up
- ♦ Wait atomically releases lock
 - What if wait, then release?
 - What if release, then wait?

Question 1: wait replaced by unlock + sleep?

```
methodThatWaits() {
    lock.acquire();

    // Read/write shared state

    while (!testSharedState()) {
        lock.release()
        cv.sleep(&lock);
    }

    // Read/write shared state

    lock.release();
}
```

```
methodThatSignals() {
    lock.acquire();

    // Read/write shared state

    // If testSharedState is now true
    cv.signal(&lock);

    // Read/write shared state

    lock.release();
}
```

43

Question 2: wait does not acquire lock?

```
methodThatWaits() {
    lock.acquire();

    // Read/write shared state

    while (!testSharedState()) {
        cv.wait (&lock);
        lock.acquire();
    }

    // Read/write shared state

    lock.release();
}
```

```
methodThatSignals() {
    lock.acquire();

    // Read/write shared state

    // If testSharedState is now true
    cv.signal(&lock);

    // Read/write shared state

    lock.release();
}
```

Condition variables, cont'd

- When a thread is woken up from wait, it may not run immediately
 - Signal/broadcast put thread on ready list
 - When lock is released, anyone might acquire it
- Wait MUST be in a loop

```
while (needToWait()) {
    condition.Wait(lock);
}
```

- Simplifies implementation
 - Of condition variables and locks
 - Of code that uses condition variables and locks

45

Structured synchronization

- Identify objects or data structures that can be accessed by multiple threads concurrently
- ◆ Add locks to object/module
 - Grab lock on start to every method/procedure
 - Release lock on finish
- ◆ If need to wait
 - while(needToWait()) { condition.Wait(lock); }
 - Do not assume when you wake up, signaller just ran
- ◆ If do something that might wake someone up
 - Signal or Broadcast
- ♦ Always leave shared state variables in a consistent state
 - When lock is released, or when waiting

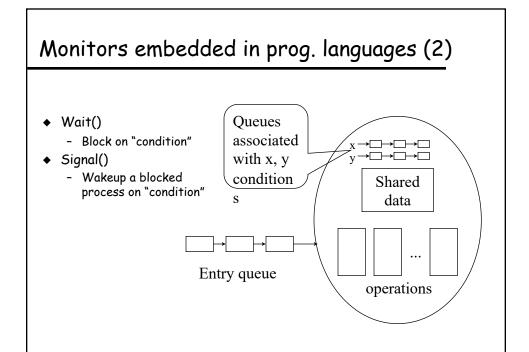
Monitors and condition variables

- Monitor definition:
 - a lock and zero or more condition variables for managing concurrent access to shared data
- Monitors make things easier:
 - "locks" for mutual exclusion
 - "condition variables" for scheduling constraints

47

Monitors embedded in prog. languages (1)

- High-level data abstraction that unifies handling of:
 - Shared data, operations on it, synch and scheduling
 - * All operations on data structure have single (implicit) lock
 - * An operation can relinquish control and wait on condition
 // only one process at time can update instance of Q
 class Q {
 int head, tail; // shared data
 void enq(v) { locked access to Q instance }
 int deq() { locked access to Q instance }
 }
 - Java from Sun; Mesa/Cedar from Xerox PARC
- Monitors easier and safer than semaphores
 - Compiler can check, lock implicit (cannot be forgotten)



49

Java language manual

When waiting upon a Condition, a "spurious wakeup" is permitted to occur, in general, as a concession to the underlying platform semantics. This has little practical impact on most application programs as a Condition should always be waited upon in a loop, testing the state predicate that is being waited for.

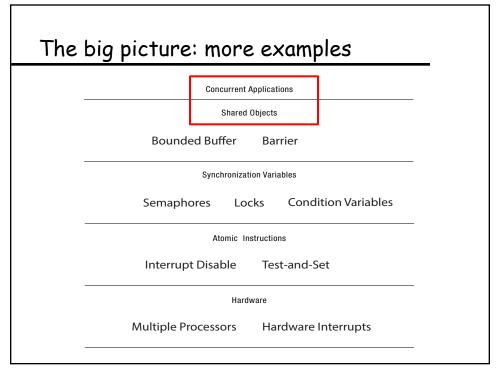
Remember the rules

- ◆ Use consistent structure
- Always use locks and condition variables
- Always acquire lock at beginning of procedure, release at end
- Always hold lock when using a condition variable
- Always wait in while loop
- Never spin in sleep()

51

Mesa vs. Hoare semantics

- ◆ Mesa
 - Signal puts waiter on ready list
 - Signaller keeps lock and processor
- ♦ Hoare
 - Signal gives processor and lock to waiter
 - When waiter finishes, processor/lock given back to signaller
 - Nested signals possible!
- ◆ For Mesa-semantics, you always need to check the condition after wait (use "while"). For Hoare-semantics you can change it to "if"



53

Producer-consumer with monitors Condition full; Condition empty; Lock lock; Consumer() { Producer() { lock.Acquire(); lock.Acquire(); while (the buffer is full) while (the buffer is empty) full.wait(&lock); empty.wait(&lock); put 1 Coke in machine; take 1 Coke; if (the buffer was empty) if (the buffer was full) full.signal(); empty.signal(); lock.Release(); lock.Release(); }

Example: the readers/writers problem

Motivation

- shared database (e.g., bank balances / airline seats)
- Two classes of users:
 - * Readers --- never modify database
 - * Writers --- read and modify database
- Using a single lock on the database would be overly restrictive
 - * want many readers at the same time
 - * only one writer at the same time

Constraints

- * Readers can access database when no writers (Condition okToRead)
- * Writers can access database when no readers or writers (Condition okToWrite)
- * Only one thread manipulates state variable at a time

55

Design specification (readers/writers)

- ♦ Reader
 - wait until no writers
 - access database
 - check out wake up waiting writer
- Writer
 - wait until no readers or writers
 - access data base
 - check out --- wake up waiting readers or writer
- State variables
 - # of active readers (AR); # of active writers (AW);
 - # of waiting readers (WR); # of waiting writers (WW);
- ◆ Lock and condition variables: okToRead, okToWrite

Solving readers/writers

```
Reader(){
 // first check self into system
 lock.Acquire();
 while ((AW+WW) > 0) {
   WR ++;
   okToRead.Wait(&lock);
   WR --;
 AR++;
 lock.Release();
  Access DB;
  // check self out of system
  lock.Acquire();
  AR--;
 if (AR == 0 && WW > 0)
      okToWrite.Signal(&lock);
  lock.Release();
```

```
Writer() {
// first check self into system
lock.Acquire();
while ((AW+AR) > 0) {
  WW ++;
  okToWrite.Wait(&lock);
  WW --;
AW++;
lock.Release();
 Access DB;
 // check self out of system
 lock.Acquire();
 AW--;
 if (WW > 0) okToWrite.Signal(&lock);
   else if (WR > 0) okToRead.Broadcast(&lock);
 lock.Release();
```

57

Example: the one-way-bridge problem

- ◆ Problem definition
 - a narrow light-duty bridge on a public highway
 - traffic cross in one direction at a time
 - at most 3 vehicles on the bridge at the same time (otherwise it will collapses)
- Each car is represented as one thread:

```
OneVechicle (int direc)
{
    ArriveBridge (direc);
    ... crossing the bridge ...;
    ExitBridge(direc);
}
```

One-way bridge with condition variables

```
Lock lock;
Condition safe; // safe to cross bridge int currentNumber; // # of cars on bridge int currentDirec; // current direction

ArriveBridge(int direc) {
lock.Acquire();
while (! safe-to-cross(direc)) {
    safe.wait(lock)
}
currentNumber++;
currentDirec = direc;
lock.Release();
}

ExitBri
lock.
curre
safe.
lock.
}

safe-tc
if (creelse)
else
re
else
re
else
```

59

The mating-whales problem

- You have been hired by Greenpeace to help the environment. Because unscrupulous commercial interests have dangerously lowered the whale population, whales are having synchronization problems in finding a mate.
- To have children, three whales are needed, one male, one female, and one
 to play matchmaker --- literally, to push the other two whales together
 (I'm not making this up!).
- Write the three procedures:

```
void Male()
void Female()
void Matchmaker()
```

using **locks** and **Mesa-style condition variables**. Each whale is represented by a separate thread. A male whale calls $\mathtt{Male}()$ which waits until there is a waiting female and matchmaker; similarly, a female whale must wait until a male whale and a matchmaker are present. Once all three are present, all three return.

Step 1 --- two-way rendezvous

```
Lock* lock;
Condition* malePresent;
Condition* maleToGo;
int numMale = 0;
bool maleCanGo = FALSE;

void Male() {
   lock->Acquire();
   numMale++;
   malePresent->Signal();
   while (! maleCanGo) {
      maleToGo->Wait(lock);
   }
   maleCanGo = FALSE;
   lock->Release()
}
```

```
void MatchMaker() {
  lock->Acquire();

while (numMale == 0) {
    malePresent->Wait(lock);
  }
  numMale--;

maleCanGo = TRUE;
  maleToGo->Signal();

lock->Release()
}
```

61

Step 2 --- three-way rendezvous

```
Lock* lock;
                                                              void MatchMaker() {
Condition* malePresent;
                                                                lock->Acquire();
Condition* maleToGo;
int numMale = 0;
                                                                while (numMale == 0) {
bool maleCanGo = FALSE;
                                                                   malePresent->Wait(lock);
Condition* femalePresent;
Condition* femaleToGo;
                                                                numMale--;
int numFemale = 0;
                               void Female() {
                                                                while (numFemale == 0) {
bool femaleCanGo = FALSE
                                 lock->Acquire();
                                                                   femalePresent->Wait(lock);
                                 numFemale++;
void Male() {
                                 femalePresent->Signal();
                                                                maleCanGo = TRUE;
 lock->Acquire();
                                 while (! femaleCanGo) {
 numMale++;
                                                                maleToGo->Signal();
                                   femaleToGo->Wait(lock);
  malePresent->Signal();
                                                                numFemale--;
                                 femaleCanGo = FALSE;
  while (! maleCanGo) {
                                                                femaleCanGo = TRUE;
    maleToGo->Wait(lock);
                                 lock->Release()
                                                                femaleToGo->Signal();
 maleCanGo = FALSE;
                                                                lock->Release()
 lock->Release()
```

Step 3 --- a simplified version

```
void MatchMaker() {
Lock* lock;
                                void Male() {
                                  lock->Acquire();
                                                                lock->Acquire();
Condition* malePresent;
                                  numMale++;
Condition* maleToGo;
                                  malePresent->Signal();
                                                                while (numMale == 0) {
int numMale = 0;
                                  maleToGo->Wait(lock);
                                                                  malePresent->Wait(lock);
                                  lock->Release();
Condition* femalePresent;
                                                                numMale--;
Condition* femaleToGo;
                                                                while (numFemale == 0) {
int numFemale = 0;
                                void Female() {
                                                                   femalePresent->Wait(lock);
                                  lock->Acquire();
                                  numFemale++;
                                  femalePresent->Signal();
                                                                maleToGo->Signal();
                                  femaleToGo->Wait(lock);
                                                                numMale ;
                                  lock->Release()
                                                                femaleToGo->Signal();
                                                                numFemale--;
                                                                lock->Release()
```

63

Example: A MapReduce single-use barrier

```
// A single use synch barrier.
                                               // No one returns until all threads
class Barrier{
                                               // have called checkin.
                                               void checkin() {
 private:
  // Synchronization variables
                                                 lock.acquire();
  Lock lock;
                                                 numEntered++;
  CV allCheckedIn:
                                                 if (numEntered < numThreads) {</pre>
                                                    while (numEntered < numThreads)
  // State variables
                                                    allCheckedIn.wait(&lock);
  int numEntered;
                                                 } else { // last thread to checkin
  int numThreads;
                                                    allCheckedIn.broadcast();
 public:
                                                 lock.release();
  Barrier(int n);
  ~Barrier();
  void checkin();
                                               Create n threads; Create barrier;
                                               Each thread executes map operation;
                                               barrier.checkin()
Barrier::Barrier(int n) {
  numEntered = 0;
                                               Each thread sends data to reducers;
  numThreads = n;
                                               Each thread executes reduce operation;
                                               barrier.checkin();
```

Example: A reusable synch barrier

```
// No one returns until all threads have called checkin.
class Barrier{
                                               void checkin() {
 private:
                                                  lock.acquire();
  // Synchronization variables
                                                  numEntered++;
  Lock lock;
                                                  if (numEntered < numThreads) {
  CV allCheckedIn;
                                                     while (numEntered < numThreads)
  CV allLeaving;
                                                       allCheckedIn.wait(&lock);
  // State variables
                                                 } else {
                                                     // no threads in allLeaving.wait
  int numEntered;
                                                     numLeaving = 0;
  int numLeaving;
                                                    allCheckedIn.broadcast();
  int numThreads;
 public:
                                                  numLeaving++;
                                                  if (numLeaving < numThreads) {
  Barrier(int n);
  ~Barrier();
                                                     while (numLeaving < numThreads)
  void checkin();
                                                       allLeaving.wait(&lock);
                                                 } else {
                                                     // no threads in allCheckedIn.wait
Barrier::Barrier(int n) {
                                                     numEntered = 0;
  numEntered = 0;
                                                     allLeaving.broadcast();
  numLeaving = 0;
  numThreads = n;
                                                  lock.release();
```

65

Example: blocking bounded queue [review]

```
// Thread-safe blocking queue.
const int MAX = 10;
class BBQ{
 // Synchronization variables
  Lock lock;
  CV itemAdded;
  CV itemRemoved;
 // State variables
  int items[MAX];
  int front;
  int nextEmpty;
 public:
  BBQ();
  ~BBQ() {};
  void insert(int item);
  int remove();
```

Example: blocking bounded queue [review]

```
//Wait until there is room and
                                              // Wait until there is an item and
// then insert an item.
                                               // then remove an item.
                                              int BBQ::remove() {
void BBQ::insert(int item) {
                                                 int item;
                                                 lock.acquire();
  lock.acquire();
                                                 while (front == nextEmpty) {
  while ((nextEmpty - front) == MAX) {
                                                   itemAdded.wait(&lock);
     itemRemoved.wait(&lock);
                                                 item = items[front % MAX];
                                                 front++;
  items[nextEmpty % MAX] = item;
                                                 itemRemoved.signal();
  nextEmpty++;
                                                 lock.release();
  itemAdded.signal();
                                                 return item;
  lock.release();
                                              // Initialize the queue to empty,
                                              // the lock to free, and the
                                              // condition variables to empty.
                                              BBQ::BBQ() {
                                                 front = nextEmpty = 0;
```

67

Starvation-Free (FIFO) BBQ [Fig. 5.14 OSPP]

```
ConditionQueue insertQueue, removeQueue;
int numRemoveCalled = 0; // # of times remove has been called
int numInsertCalled = 0; // # of times insert has been called
int FIFOBBQ::remove() {
  int item, myPosition;
CV *myCV, *nextWaiter;
  lock.acquire();
  myPosition = numRemoveCalled++;
  myCV = new CV; // Create a new condition variable to wait on.
  removeQueue.append(myCV);
   // Even if I am woken up, wait until it is my turn,
  while (front < myPosition || front == nextEmpty) {
     myCV->Wait(&lock);
  delete myCV; // The condition variable is no longer needed.
  item = items[front % MAX];
  front++;
   // Wake up the next thread waiting in insertQueue, if any
  nextWaiter = insertQueue.removeFromFront();
  if (nextWaiter != NULL) nextWaiter->Signal(&lock);
  lock.release();
  return item;
```

Starvation-Free (FIFO) BBQ (cont'd)

```
ConditionQueue insertQueue, removeQueue;
int numRemoveCalled = 0: // # of times remove has been called
int numInsertCalled = 0; // # of times insert has been called
void FIFOBBQ::insert(int item) {
 int myPostition;
 CV *myCV, nextWaiter;
 lock.acquire ();
 myPosition = numInsertCalled++;
 myCV = new CV;
 insertQueue.append(myCV);
 while (nextEmpty < myPosition || (nextEmpty - front) == MAX) {
     myCV->wait(&lock);
 delete myCV;
 items[nextEmpty % MAX] = item;
 nextEmpty ++;
 nextWaiter = removeQueue.removeFromFront();
 if (nextWaiter != NULL) nextWaiter->Signal();
 lock.release();
```

69

Starvation-Free (FIFO) BBQ

- ◆ Bug 1: keeping destroyed CVs inside the removeQueue
 - Buffer size MAX=1, one producer and one consumer
 - Producer inserts one item when the buffer is empty
 - Producer tries to insert again and sleep on a 2nd allocated CV
 - Consumer calls remove successfully and wakes up the first CV in the insertQueue; the CV is NULL, so Consumer moves on;
 - Consumer calls removes again but had to sleep because the buffer is empty.
- Bug 2: starvation when multiple CVs are waken up
 - Buffer size MAX=2; one producer and two consumers (C1,C2)
 - Two consumers run first and sleeps on empty buffer
 - Producer inserts one item and wakes up C1; P inserts another one and wakes up C2;
 - C2 is scheduled first; but (front < myPosition), so it is not C2's turn; so it goes to sleep; then C1 finishes; C2 will never wake up

Starvation-Free (FIFO) BBQ [Bug Fixed]

```
int FIFOBBQ::remove () {
  int item,myPostition;
CV *myCV,*nextWaiter;
  lock.acquire ();
myPosition = numRemoveCalled++;
  myCV = new CV;
  removeQueue.append(myCV);
  while (front < myPosition || front == nextEmpty) {
     myCV->wait(&lock);
  delete myCV;
  item = items[front % MAX];
  front ++;
  nextWaiter = insertQueue.peekFront();
if (nextWaiter != NULL) nextWaiter->Signal();
  removeQueue.removeFromFront(); // the remover now responsible for removing itself from the removeQueue
  nextWaiter = removeQueue.peekFront(); // the remover responsible for waking up the next in the removeQueue
  if (nextWaiter != NULL) nextWaiter->Signal();
  lock.release();
  return item;
```