

# Smallstep Semantics & Forward & Backward Simulation

(CS428/528 Lecture 10)

# Smallstep Semantics

```
Record semantics : Type := Semantics_gen {  
  state: Type;  
  genvtype: Type;  
  step : genvtype -> state -> trace -> state -> Prop;  
  initial_state: state -> Prop;  
  final_state: state -> int -> Prop;  
  globalenv: genvtype;  
  symbolenv: Senv.t  
}.
```

# Global Environments

```
Record t: Type := mkgenv {  
  genv_public: list ident;    (* which symbol names are public *)  
  genv_symb: PTree.t block;   (* mapping symbol -> block *)  
  genv_defs: PTree.t (globdef F V); (* mapping block -> definition *)  
  genv_next: block;          (* next symbol pointer *)  
  genv_symb_range:  
    forall id b, PTree.get id genv_symb = Some b -> Plt b genv_next;  
  genv_defs_range:  
    forall b g, PTree.get b genv_defs = Some g -> Plt b genv_next;  
  genv_vars_inj:  
    forall id1 id2 b, PTree.get id1 genv_symb = Some b ->  
      PTree.get id2 genv_symb = Some b -> id1 = id2  
}.
```

# Global Definitions & Variables

```
Inductive globdef (F V: Type) : Type :=  
  | Gfun (f: F)  
  | Gvar (v: globvar V).
```

```
Record globvar (V: Type) : Type := mkglobvar {  
  gvar_info: V; (* language-dependent info, e.g. a type *)  
  gvar_init: list init_data; (* initialization data *)  
  gvar_readonly: bool; (* read-only variable? (const) *)  
  gvar_volatile: bool (* volatile variable? *)  
}.
```

# Program & Program Module

```
Record program (F V: Type) : Type := mkprogram {  
  prog_defs: list (ident * globdef F V);  
  prog_public: list ident;  
  prog_main: ident  
}.
```

# Symbol Environments

```
Record t: Type := mkseenv {  
  
  find_symbol: ident -> option block;  
  public_symbol: ident -> bool;  
  
  invert_symbol: block -> option ident;  
  
  block_is_volatile: block -> bool;  
  
  nextblock: block;  
  
}.
```

# Clight Function Definition

```
Inductive fundef : Type :=  
  | Internal: function -> fundef  
  | External: external_function -> typelist -> type  
                                          -> calling_convention -> fundef.
```

```
Record function : Type := mkfunction {  
  fn_return: type;  
  fn_callconv: calling_convention;  
  fn_params: list (ident * type);  
  fn_vars: list (ident * type);  
  fn_temps: list (ident * type);  
  fn_body: statement  
}.
```

# Asm Function Definition & Program Module

`Definition` code := list instruction.

`Record` function : Type :=

mkfunction { fn\_sig: signature; fn\_code: code }.

`Definition` fundef := AST.fundef function.

`Definition` program := AST.program fundef unit.



# Forward Simulation

```
Record fsim_properties (L1 L2: semantics) (index: Type)
  (order: index -> index -> Prop)
  (match_states: index -> state L1 -> state L2 -> Prop) : Prop := {
  fsim_order_wf: well_founded order;
  fsim_match_initial_states:
    forall s1, initial_state L1 s1 ->
      exists i, exists s2, initial_state L2 s2 /\ match_states i s1 s2;
  fsim_match_final_states:
    forall i s1 s2 r,
      match_states i s1 s2 -> final_state L1 s1 r -> final_state L2 s2 r;
  fsim_simulation:
    forall s1 t s1', Step L1 s1 t s1' ->
      forall i s2, match_states i s1 s2 ->
        exists i', exists s2',
          (Plus L2 s2 t s2' \/ (Star L2 s2 t s2' /\ order i' i))
          /\ match_states i' s1' s2';
  fsim_public_preserved:
    forall id, Senv.public_symbol (symbolenv L2) id = Senv.public_symbol (symbolenv L1) id
}.
```

# Forward Simulation (cont'd)

```
Inductive forward_simulation (L1 L2: semantics) : Prop :=  
  Forward_simulation  
    (index: Type)  
    (order: index -> index -> Prop)  
    (match_states: index -> state L1 -> state L2 -> Prop)  
    (props: fsim_properties L1 L2 index order match_states).
```

# Backward Simulation

```
Record bsim_properties (L1 L2: semantics) (index: Type)
    (order: index -> index -> Prop)
    (match_states: index -> state L1 -> state L2 -> Prop) : Prop := {
bsim_order_wf: well_founded order;
bsim_initial_states_exist:
    forall s1, initial_state L1 s1 -> exists s2, initial_state L2 s2;
bsim_match_initial_states:
    forall s1 s2, initial_state L1 s1 -> initial_state L2 s2 ->
exists i, exists s1', initial_state L1 s1' /\ match_states i s1' s2;
bsim_match_final_states:
    forall i s1 s2 r,
match_states i s1 s2 -> safe L1 s1 -> final_state L2 s2 r ->
exists s1', Star L1 s1 E0 s1' /\ final_state L1 s1' r;
```

# Backward Simulation (cont'd)

**bsim\_progress:**

```
forall i s1 s2,  
match_states i s1 s2 -> safe L1 s1 ->  
(exists r, final_state L2 s2 r) \/  
(exists t, exists s2', Step L2 s2 t s2');
```

**bsim\_simulation:**

```
forall s2 t s2', Step L2 s2 t s2' ->  
forall i s1, match_states i s1 s2 -> safe L1 s1 ->  
exists i', exists s1',  
  (Plus L1 s1 t s1' \/  
   (Star L1 s1 t s1' /\ order i' i))  
  /\ match_states i' s1' s2';
```

**bsim\_public\_preserved:**

```
forall id, Senv.public_symbol (symbolenv L2) id = Senv.public_symbol (symbolenv L1) id
```

}.

# Safety

```
Definition safe (L: semantics) (s: state L) : Prop :=  
  forall s',  
  Star L s E0 s' ->  
    (exists r, final_state L s' r)  
    \/\ (exists t, exists s'', Step L s' t s'').
```

# Backward Simulation (cont'd)

```
Inductive backward_simulation (L1 L2: semantics) : Prop :=  
  Backward_simulation  
    (index: Type)  
    (order: index -> index -> Prop)  
    (match_states: index -> state L1 -> state L2 -> Prop)  
    (props: bsim_properties L1 L2 index order match_states).
```

# Compiler Correctness

**Theorem** `transf_c_program_correct`:

`forall` `p` `tp`,

`transf_c_program p = OK tp ->`

`backward_simulation (Csem.semantics p) (Asm.semantics tp).`