

CS428 Lecture 9: Layered and Object-Based Game Semantics

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February 15, 2024

Hints on Programming Language Design (Hoare 1973)

- Program Design

The first and very difficult aspect of design is deciding what the program is to do, and formulating this as a clear, precise, and acceptable specification. Often just as difficult is deciding how to do it, -- how to divide a complex task into simpler subtasks, and to specify the purpose of each part, and define clear, precise, and efficient interfaces between them. A good **programming** language should give assistance in expressing not only how the program is to run, but what it is intended to accomplish; and it should enable this to be expressed at various levels, from the overall strategy to the details of coding and data representation. It should assist in establishing and enforcing the programming conventions and disciplines which will ensure harmonious cooperation of the parts of a large program when they are developed separately and finally assembled together. --

Notes on Structured Programming (Dijkstra 1972)

I want to view the main program as executed by its own, dedicated machine, equipped with the adequate instruction repertoire operating on the adequate variables and sequenced under control of its own instruction counter, in order that my main program would solve my problem if I had such a machine. I want to view it that way, because it stresses the fact that

the correctness of the main program can be discussed and established regardless of the availability of this (probably still virtual) machine: I don't need to have it, I only need to have its specifications as far as relevant for the proper execution of the main program under consideration.

Notes on Structured Programming (Dijkstra 1972)

In actual practice, of course, this ideal machine will turn out not to exist, so our next task—structurally similar to the original one—is to program the simulation of the “upper” machine. In programming this simulation we have to decide upon data structures to provide for the state space of the upper machine; furthermore we have to make a bunch of algorithms, each of them providing an implementation of an instruction assumed for the order code of the upper machine. Finally, the “lower” machine may have a set of private variables, introduced for its own benefit and completely outside the realm and scope of the upper machine. But this bunch of programs is written for a machine that in all probability will not exist, so our next job will be to simulate it in terms of programs for a next-lower machine, etc. until finally we have a program that can be executed by our hardware.

Notes on Structured Programming (Dijkstra 1972)

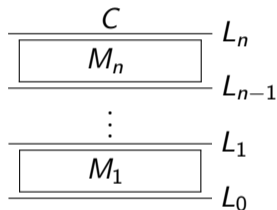
If we succeed in building up our program along the lines just given, we have arranged our program in layers. Each program layer is to be understood all by itself, under the assumption of a suitable machine to execute it, while the function of each layer is to simulate the machine that is assumed to be available on the level immediately above it.

Certified Abstraction Layers

► **Layer:**



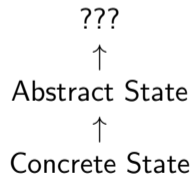
► **Layering:**



► **Certified Implementation:**

$$L_1 \vdash M : L_2$$

► **Encapsulation:**



Modeling State: Global vs Local State

Global State:

- ▶ C Memory Model
- ▶ ML Reference Types
- ▶ Haskell State Monads
- ▶ Algebraic Effects (Global)
- ⋮

Local State:

- ▶ Algebraic Effects (Local)
- ▶ Object-Based Semantics
- ⋮

Global State Considered Unnecessary: An Introduction to Object-Based Semantics

UDAY S. REDDY

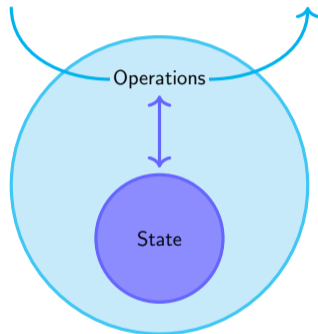
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Department of Computer Science, University of Illinois at Urbana-Champaign, Urbana, IL.

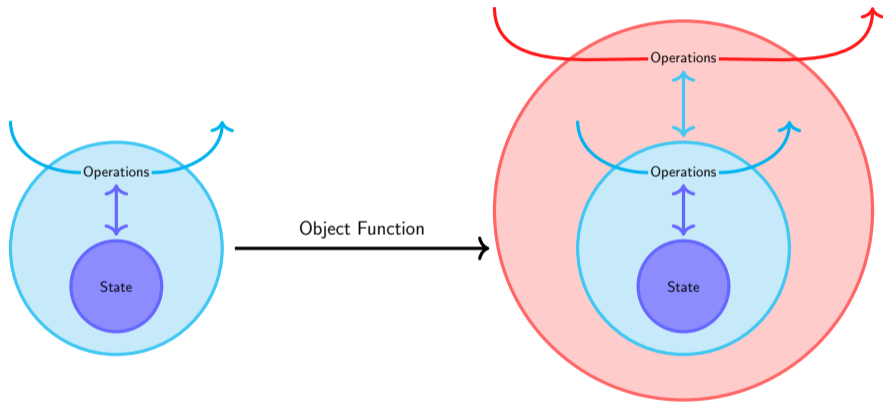
Abstract. Semantics of imperative programming languages is traditionally described in terms of functions on global states. We propose here a novel approach based on a notion of *objects* and characterize them in terms of their observable behavior. States are regarded as part of the internal structure of objects and play no role in the observable behavior. It is shown that this leads to considerable accuracy in the semantic modelling of locality and single-threadedness properties of objects.

Keywords: Imperative programs, Syntactic control of interference, Denotational semantics, Objects.

Objects



Object Functions



Object-Based Semantics and Certified Abstraction Layers

Object Type \approx Layer Signature

Object \approx Layer Specification

Object Function \approx Layer Implementation

??? \approx Certified Layer

How to build compositional semantic models for certifying large heterogenous systems?

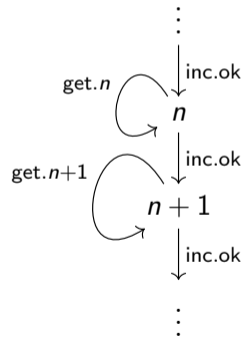
Our Main Results (Oliveira Vale et al POPL 2022)

- ▶ A novel model of Certified Abstraction Layers.
 - ▶ No explicit state
 - ▶ Rooted in linear logic
 - ▶ Clarifies some aspects of the abstract semantics of CAL
 - ▶ Supports an operational account as a game semantics model
 - ▶ Supports a denotational account as a domain-theoretic model
- ▶ Generalized notion of layer interface that faithfully encapsulates state
- ▶ An extension to handle non-determinism in layer interfaces
- ▶ An extension to support concurrency

From Code to External Behaviors

```
inc() {  
  i ← get();  
  set(i+1);  
  return ok;  
}
```

\Rightarrow



\Rightarrow

$(S_{\text{Counter}}, \rightarrow_{\text{Counter}})$

$V_{\text{Counter}} \subseteq \dagger\text{Counter}$

$V_{\text{Counter}} = \{\epsilon, get, inc$
 $get \cdot 0, inc \cdot ok,$
 $get \cdot 0 \cdot get,$
 $get \cdot 0 \cdot inc,$
 $inc \cdot ok \cdot get,$
 $inc \cdot ok \cdot inc,$
 $get \cdot 0 \cdot get \cdot 0,$
 $\dots,$
 $\}$

Layer Signatures

Every layer receives a type describing the operations it supports.

Example

$\text{Var} := \{\text{get} : \mathbf{1} \rightarrow \mathbb{N}, \text{set} : \mathbb{N} \rightarrow \mathbf{1}\}$ $\text{Counter} := \{\text{get} : \mathbf{1} \rightarrow \mathbb{N}, \text{set} : \mathbb{N} \rightarrow \mathbf{1}, \text{init} : \mathbf{1} \rightarrow \mathbf{1}\}$
Which implicitly describe small interactions:

$\text{get} \longrightarrow n$	$\text{get} \longrightarrow n$
$\text{set}(m) \longrightarrow \text{ok}$	$\text{inc} \longrightarrow \text{ok}$
	$\text{init} \longrightarrow \text{ok}$

Effect Signatures as Types for Systems

Definition

An **effect signature** consists of a set E of **operations** together with an assignment $\text{ar}(-) : E \rightarrow \mathbf{Set}$ of a set to each effect.

$$E := \{e_1 : \text{ar}(e_1), e_2 : \text{ar}(e_2), \dots\}$$

The set $\text{ar}(e)$ is called the **arity** of e .

Its associated game E has, for every $e \in E$ and $v \in \text{ar}(e)$, plays:

$$\begin{array}{ccc} \text{env} & & \\ \vdots & & \\ e & \longrightarrow & v \\ & & \vdots \\ & & \text{sys} \end{array}$$

The Replay Modality

A signature such as `Var` allows interactions with a single operation:

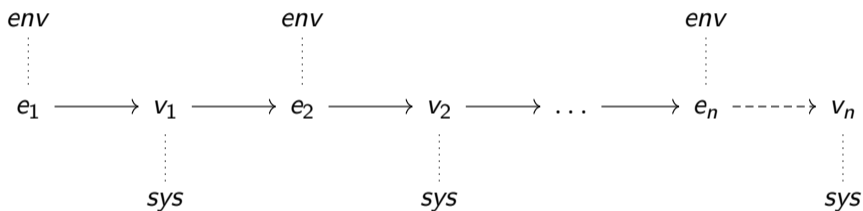
`get` \longrightarrow n `set(m)` \longrightarrow `ok`

Layers generally support many consecutive interactions:

`get` \longrightarrow `0` \longrightarrow `get` \longrightarrow `0` \longrightarrow `set(3)` \longrightarrow `ok` \longrightarrow `get` \longrightarrow `3`

The Replay Modality

The type $\dagger E$ has as corresponding game $\dagger E$ which consists of plays:



where for every $i \leq n$, $e_i \in E$ and $v_i \in \text{ar}(e_i)$.

The Replay Modality

Example

The game for $\dagger\text{Var}$ supports plays such as

1. $\text{get} \longrightarrow 0 \longrightarrow \text{get} \longrightarrow 0 \longrightarrow \text{set}(3) \longrightarrow \text{ok} \longrightarrow \text{get} \longrightarrow 3$
2. $\text{get} \longrightarrow 5$
3. $\text{set}(5) \longrightarrow \text{ok} \longrightarrow \text{get} \longrightarrow 42 \longrightarrow \text{get} \longrightarrow 17$
4. $\text{set}(5) \longrightarrow \text{ok} \longrightarrow \text{set}(3) \longrightarrow \text{ok} \longrightarrow \text{get}$

External Behaviors from Transition Systems

Interaction : get \longrightarrow

State : 0 $\xrightarrow{\text{get.0}}$ 0

External Behaviors from Transition Systems

Interaction : get \longrightarrow 0

State : 0 $\xrightarrow{\text{get.0}}$ 0

External Behaviors from Transition Systems

Interaction : $\text{get} \longrightarrow 0 \longrightarrow \text{set}(3)$

State : $0 \xrightarrow{\text{get}.0} 0$

External Behaviors from Transition Systems

Interaction : get \longrightarrow 0 \longrightarrow set(3) \longrightarrow ok

State : 0 $\xrightarrow{\text{get.0}}$ 0 $\xrightarrow{\text{set.3}}$ 3

External Behaviors from Transition Systems

Interaction : get \longrightarrow 0 \longrightarrow set(3) \longrightarrow ok \longrightarrow get

State : 0 $\xrightarrow{\text{get.0}}$ 0 $\xrightarrow{\text{set(3).ok}}$ 3

External Behaviors from Transition Systems

Interaction : get \longrightarrow 0 \longrightarrow set(3) \longrightarrow ok \longrightarrow get \longrightarrow

State : 0 $\xrightarrow{\text{get.0}}$ 0 $\xrightarrow{\text{set(3).ok}}$ 3 $\xrightarrow{\text{get.3}}$ 3

External Behaviors from Transition Systems

Interaction : $\text{get} \longrightarrow 0 \longrightarrow \text{set}(3) \longrightarrow \text{ok} \longrightarrow \text{get} \longrightarrow 3$

State : $0 \xrightarrow{\text{get}.0} 0 \xrightarrow{\text{set}(3).\text{ok}} 3 \xrightarrow{\text{get}.3} 3$

Layer Specifications from State Transition Systems

Given a transition system $L = (S, \rightarrow \subseteq S \times (\cup_{e \in E} e \times \text{ar}(e)) \times S)$ we recursively construct a set of plays $L \# q$ by

$$\epsilon \in L \# q \quad \forall e \in E \Rightarrow e \in L \# q \quad e \rightarrow v \xrightarrow{s} \in L \# q \iff \exists q' \in S. q \xrightarrow{e.v} q' \wedge s \in L \# q'$$

Example (Bounded Queue)

Signature $E_{\text{bq}} = \{\text{enq} : \mathbb{U} \rightarrow \mathbf{1}, \text{deq} : \mathbf{1} \rightarrow \mathbb{U}\}$

States $S_{\text{bq}} = \mathbb{U}^*$

Transitions $\blacktriangleright |\vec{q}| < N \Rightarrow \vec{q} \xrightarrow{\text{enq}(v).\text{ok}} \vec{q}v$

$\blacktriangleright \vec{q} = v\vec{q}' \Rightarrow \vec{q} \xrightarrow{\text{deq}.v} \vec{q}'$

We can define a layer specification for E_{bq} as

$$V_{\text{bq}} := (S_{\text{bq}}, \rightarrow) \# \langle \rangle$$

Example: Variable Object

Example

The usual Var semantics is encoded as an object as the set V_{Var} of plays s of $\dagger\text{Var}$ representing its externally observable behaviors:

- ▶ If get is the first operation then it returns 0

$$s = \text{get} \longrightarrow v \longrightarrow \dots \Rightarrow v = 0$$

- ▶ Consecutive calls to get return the same value:

$$s = \xrightarrow{s_1} \text{get} \longrightarrow v_1 \longrightarrow \text{get} \longrightarrow v_2 \xrightarrow{s_2} \Rightarrow v_1 = v_2$$

- ▶ A call to get after a successful set(n) returns n

$$s = \xrightarrow{s_1} \text{set}(n) \longrightarrow \text{ok} \longrightarrow \text{get} \longrightarrow v \xrightarrow{s_2} \Rightarrow v = n$$

Example: Counter Object

Example

Similarly, the object V_{Counter} encoding the usual Counter semantics is defined as the set of all plays s of $\dagger\text{Counter}$ such that:

- ▶ Any non-empty play must start with the initialization procedure:

$$s = e \longrightarrow v \xrightarrow{s'} \Rightarrow e = \text{init}$$

- ▶ Calls to get return the same number of calls to inc that happened since the last init:

$$s = \xrightarrow{s_1} \text{get} \longrightarrow v \xrightarrow{s_2} \Rightarrow v = \#\text{inc}(s_1)$$

Layer Specifications

We use objects over types E as layer specifications. Generally:

Definition

A **layer specification** V_E for an effect signature E is a **deterministic strategy** $V_E : \dagger E$. That is, it is a set of plays of $\dagger E$ satisfying:

- ▶ V_E is non-empty
- ▶ V_E is prefix-closed
- ▶ V_E is receptive: If $\xrightarrow{s} \cdot \in V_E$ is even-length then $\forall e \in E. \xrightarrow{s} e \in V_E$

- ▶ V_E is deterministic: $\xrightarrow{s} e \begin{array}{l} \nearrow v \\ \searrow v' \end{array} \in V_E \Rightarrow v = v'$

Determinism

$$e_1 \rightarrow v_1 \rightarrow \dots \rightarrow e_k \rightarrow v_k \rightarrow \dots \rightarrow e_n \dashrightarrow v_n$$

$$\in V_E \Rightarrow v_k = v'_k$$

$$e_1 \rightarrow v_1 \rightarrow \dots \rightarrow e_k \rightarrow v'_k \rightarrow \dots \rightarrow e'_m \dashrightarrow v'_m$$

Layer Specifications are Stateful

$S_E = \text{plays of } \dagger E$

$$s \xrightarrow{e.v} s \cdot e \cdot v \iff s \cdot e \cdot v \in V_E$$

Implementations

Example

We would like to define an implementation

$$M : \text{Var} \rightarrow \text{Counter}$$

encoding the code:

```
inc() {  
  i ← get();  
  set(i+1);  
  return ok;  
}
```

```
get() {  
  i ← get();  
  return i;  
}
```

```
init() {  
  set(0);  
  return ok;  
}
```


Object Functions

As the implementation must map objects to objects it needs to be able to handle many consecutive operations:

$$\widehat{M} : \dagger\text{Var} \multimap \dagger\text{Counter}$$

But as it must handle any object of type Var it must not assume anything about the state of the object across invocations

$$\forall n_1, n_2 \in \mathbb{N}. \quad \begin{array}{ccccccc} & \text{inc} & & & \text{ok} \rightarrow \text{get} & & n_2 \\ & \curvearrowright & & & \curvearrowright & & \curvearrowright \\ \text{get} & \rightarrow & n_1 & \rightarrow & \text{set}(n_1 + 1) & \rightarrow & \text{ok} & \rightarrow & \text{get} & \rightarrow & n_2 \\ & & & & \in \widehat{M} & & & & & & \end{array}$$

Theorem (Reddy)

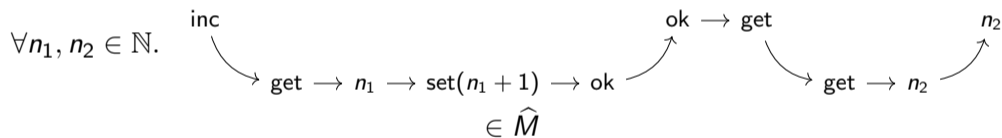
$$\dagger E \xrightarrow{\text{Reg}} \dagger F \cong \dagger E \multimap F$$

Object Functions

As the implementation must map objects to objects it needs to be able to handle many consecutive operations:

$$\widehat{M} : \dagger\text{Var} \multimap \dagger\text{Counter}$$

But as it must handle any object of type `Var` it must not assume anything about the state of the object across invocations



Theorem (Reddy)

$$\dagger E \xrightarrow{\text{Reg}} \dagger F \cong \dagger E \multimap F$$

Example: Implementing Counter

We will define the implementation M

$M : \text{Var} \rightarrow \text{Counter}$ a linear map $M : \dagger\text{Var} \multimap \text{Counter}$

as:

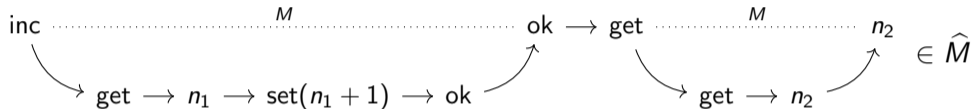
$$M := M^{\text{inc}} \cup M^{\text{get}} \cup M^{\text{init}}$$

where:

$$M^{\text{inc}} := \downarrow \left\{ \begin{array}{c} \text{inc} \\ \searrow \\ \text{get} \rightarrow n \rightarrow \text{set}(n+1) \rightarrow \text{ok} \end{array} \mid n \in \mathbb{N} \right\}$$

$$M^{\text{get}} := \downarrow \left\{ \begin{array}{c} \text{get} \\ \searrow \\ \text{get} \rightarrow n \end{array} \mid n \in \mathbb{N} \right\} \quad M^{\text{init}} := \downarrow \left\{ \begin{array}{c} \text{init} \\ \searrow \\ \text{set}(0) \rightarrow \text{ok} \end{array} \right\}$$

Regular Map Correspondence



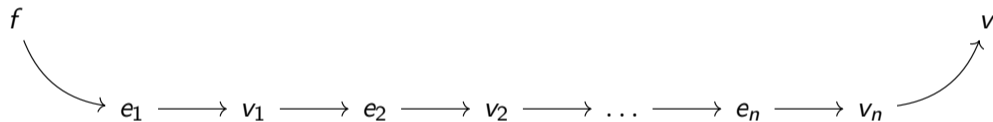
Linear maps $\dagger\text{Var} \rightarrow \circ \text{Counter}$ can be extended to regular maps $\dagger\text{Var} \rightarrow \circ \dagger\text{Counter}$ similarly to a Kleene star:

$$\widehat{M} \approx M^*$$

Regular maps have no state!

Game Semantics of Implementations

Plays of $\dagger E \multimap F$ are of the form



Layer Implementations

Definition

An **implementation** $M : E \rightarrow F$ is a **deterministic strategy** of type $\dagger E \multimap F$. That is, M is a set of plays of $\dagger E \multimap F$ such that:

▶ M is non-empty and prefix-closed

▶ M is receptive:

▶ For all $f \in F$ the play f is in M

▶ $\begin{array}{c} \curvearrowright \\ \xrightarrow{s} \\ e \end{array} \in M \Rightarrow \begin{array}{c} \curvearrowright \\ \xrightarrow{s} \\ e \end{array} \longrightarrow v \in M$

▶ M is deterministic

▶ $\begin{array}{c} \curvearrowright \\ \xrightarrow{s} \\ e \end{array} \in M \wedge \begin{array}{c} \curvearrowright \\ \xrightarrow{s} \\ e' \end{array} \in M \Rightarrow e = e'$

▶ $f \begin{array}{c} \xrightarrow{s} \\ \curvearrowright \\ v \end{array} \in M \wedge f \begin{array}{c} \xrightarrow{s} \\ \longrightarrow \\ m \end{array} \in M \Rightarrow m = v$

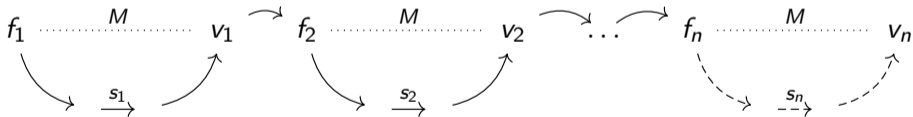
Regular Extension of Implementations

Definition

Given an implementation $M : E \rightarrow F$ its **regular extension** \widehat{M} is a deterministic strategy of type $\dagger E \multimap \dagger F$ defined as

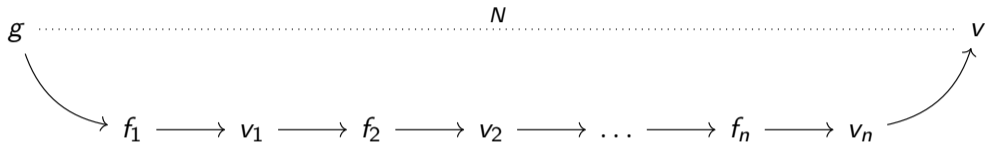
$$\widehat{M} := \{s_1 \cdot \dots \cdot s_n \mid s_1, \dots, s_n \in M\}$$

Essentially, the plays of \widehat{M} are of the form:

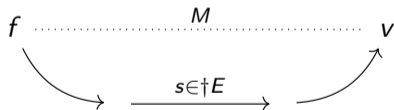


Implementation Composition

An implementation $N : F \rightarrow G$ makes several invocations to operations in F :



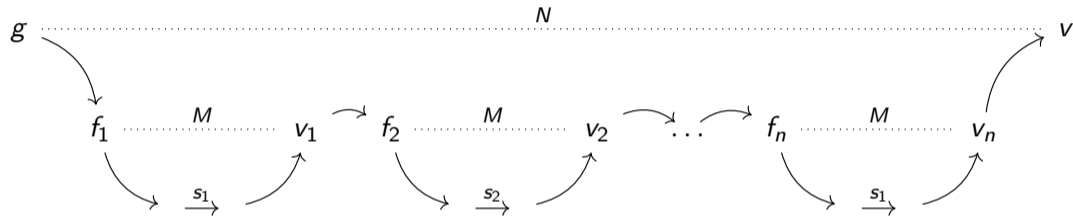
While $M : E \rightarrow F$ describes plays



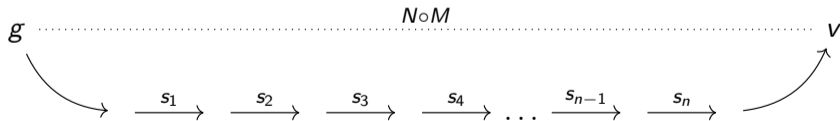
Which involves a single invocation of F .

Composing Strategies

Essentially, interactions have shape



Which after hiding look like



Refinement

Definition

For specifications V_E and V'_E over E refinement is defined by

$$V_E \sqsubseteq V'_E := V_E \subseteq V'_E$$

Certified Layer Implementations

Definition

A **certified layer implementation** $M : L_E \rightarrow L_F$ between layer interfaces $L_E = (E, V_E)$ and $L_F = (F, V_F)$ consists of an implementation $M : E \rightarrow F$ satisfying the refinement property:

$$V_F \sqsubseteq V_E; \hat{M}$$

The refinement property explicitly reads as:

$$V_F \sqsubseteq V_E; \hat{M} \iff \forall s \in V_F. \exists t \in V_E. \exists p \in \hat{M}. p|_E = s \wedge p|_F = t$$

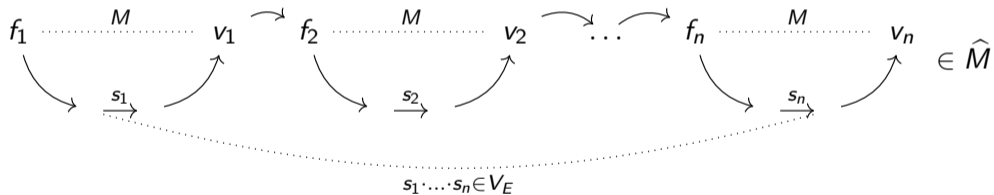
Example

$$M : (\text{Var}, V_{\text{Var}}) \rightarrow (\text{Counter}, V_{\text{Counter}})$$

Certified Layer Implementations

$$f_1 \rightarrow v_1 \rightarrow f_2 \rightarrow v_2 \rightarrow \dots \rightarrow f_n \rightarrow v_n \in V_E; \hat{M}$$

\Leftrightarrow



Outline

Introduction

Layer Signatures: E

Implementations: $M : \dagger E \multimap F$

Layer Interfaces: $L = (E, \mathcal{V}_E)$

Certified Layer Implementations: $L_1 \vdash M : L_2$

Non-Deterministic Layer Specifications: $L = (E, \mathcal{V}_E)$

Concurrent Layers: $L = (E, R, \mathcal{V}_E)$

Conclusion

Outline

Introduction

Layer Signatures: E

Implementations: $M : \dagger E \multimap F$

Layer Interfaces: $L = (E, V_E)$

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Non-Deterministic Layer Specifications: $L = (E, \mathcal{V}_E)$

Concurrent Layers: $L = (E, R, V_E)$

Conclusion

Layer Signatures

Definition

An **effect signature** consists of

- ▶ A set E of **operations**
- ▶ A set $\text{ar}(e)$ to each operation e called the **arity** of e

Example

▶ $\text{Var} := \{\text{get} : \mathbf{1} \rightarrow \mathbb{N}, \text{set} : \mathbb{N} \rightarrow \mathbf{1}\}$:

Operations $\text{Var} = \{\text{get}, \text{set}(n) \mid n \in \mathbb{N}\}$

Arities ▶ $\text{ar}(\text{get}) = \mathbb{N}$

▶ $\text{ar}(\text{set}(n)) = \mathbf{1} \cong \{\text{ok}\}$

Layer Signatures

Definition

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Arities ▶ $\text{ar}(\text{get}) = \mathbb{N}$

▶ $\text{ar}(\text{set}(n)) = \mathbf{1} \cong \{\text{ok}\}$

State-Based Layer Specifications

Var := {get : $\mathbf{1} \rightarrow \mathbb{N}$, set : $\mathbb{N} \rightarrow \mathbf{1}$ }

State: $n, m \in S_{\text{Var}} := \mathbb{N}$

Initial State: 0

Transitions: $n \xrightarrow{\text{get}.n} n$
 $n \xrightarrow{\text{set}(m).\text{ok}} m$

FAI := {fai : $\mathbf{1} \rightarrow \mathbb{N}$ }

State: $n \in S_{\text{FAI}} := \mathbb{N}$

Initial State: 0

Transitions: $n \xrightarrow{\text{fai}.n} n + 1$

State-Based Layer Specifications

Var := {get : $\mathbf{1} \rightarrow \mathbb{N}$, set : $\mathbb{N} \rightarrow \mathbf{1}$ }

State: $n, m \in \mathcal{S}_{\text{Var}} := \mathbb{N}$

Initial State: 0

Transitions: $n \xrightarrow{\text{get}.n} n$
 $n \xrightarrow{\text{set}(m).\text{ok}} m$

FAI := {fai : $\mathbf{1} \rightarrow \mathbb{N}$ }

State: $n \in \mathcal{S}_{\text{FAI}} := \mathbb{N}$

Initial State: 0

Transitions: $n \xrightarrow{\text{fai}.n} n + 1$

Bounded Queue

Signature

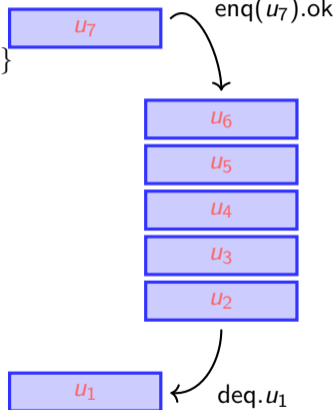
$$E_{\text{bq}} := \{\text{enq} : \mathbb{U} \rightarrow \mathbf{1}, \text{deq} : \mathbf{1} \rightarrow \mathbb{U}\}$$

States $S_{\text{bq}} = \mathbb{U}^*$

Initial State ϵ

- Transitions
- ▶ $|\vec{q}| < N \Rightarrow \vec{q} \xrightarrow{\text{enq}(v).\text{ok}} \vec{q}v$
 - ▶ $\vec{q} = v\vec{q}' \Rightarrow \vec{q} \xrightarrow{\text{deq}.v} \vec{q}'$

Queue Semantics:



Bounded Queue

Signature

$$E_{\text{bq}} := \{\text{enq} : \mathbb{U} \rightarrow \mathbf{1}, \text{deq} : \mathbf{1} \rightarrow \mathbb{U}\}$$

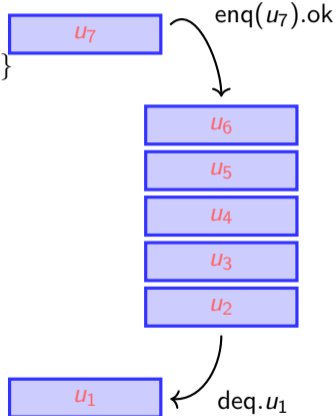
States $S_{\text{bq}} = \mathbb{U}^*$

Initial State ϵ

- Transitions
- ▶ $|\vec{q}| < N \Rightarrow \vec{q} \xrightarrow{\text{enq}(v).\text{ok}} \vec{q}v$
 - ▶ $\vec{q} = v\vec{q}' \Rightarrow \vec{q} \xrightarrow{\text{deq}.v} \vec{q}'$



Queue Semantics:



Ring Buffer

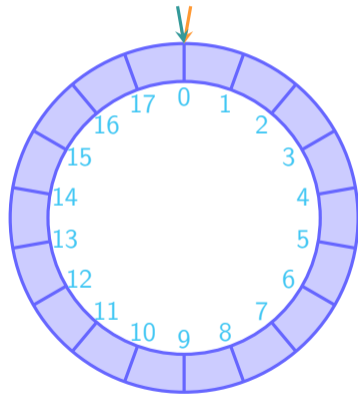
Signature:

$$E_{rb} := \{\text{set} : \mathbb{N} \times \mathbb{U} \rightarrow \mathbf{1}, \text{get} : \mathbb{N} \rightarrow \mathbb{U}\} \\ \cup \{\text{fai}_1 : \mathbf{1} \rightarrow \mathbb{N}, \text{fai}_2 : \mathbf{1} \rightarrow \mathbb{N}\}$$

State: $S_{rb} := \mathbb{U}^{\mathbb{N}} \times \mathbb{N} \times \mathbb{N}$

Initial State: $(\emptyset, 0, 0)$

Initial State:



Ring Buffer

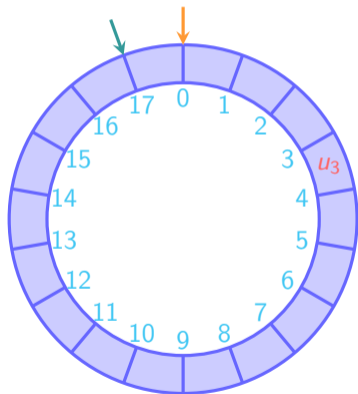
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$$(\emptyset, 0, 17) \xrightarrow{\text{set}(3, u_3).\text{ok}} (\{3 \mapsto u_3\}, 0, 17)$$

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Initial State: $(\emptyset, 0, 0)$

set Semantics:



Ring Buffer

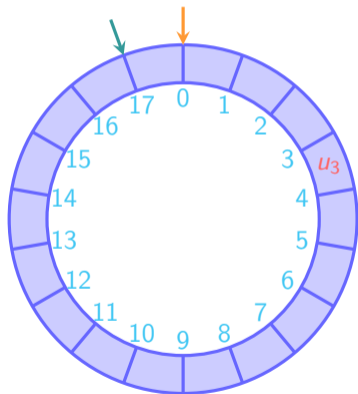
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get Semantics:



Ring Buffer

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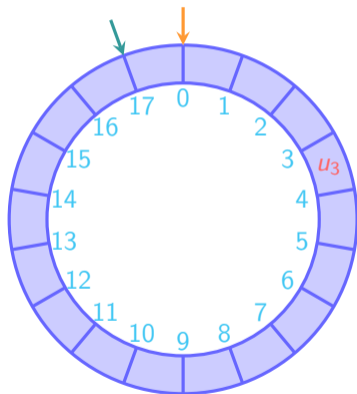
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Ring Buffer

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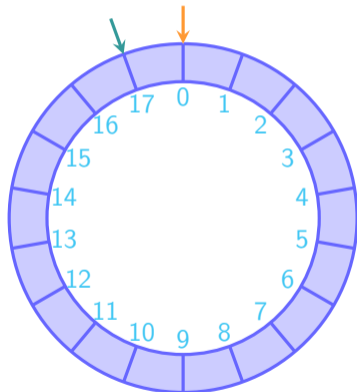
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Initial State: $(\emptyset, 0, 0)$

fai Semantics:

$$(\emptyset, 0, 17) \xrightarrow{\text{fai}_1.0} (\emptyset, 1, 17)$$



Ring Buffer

Signature:

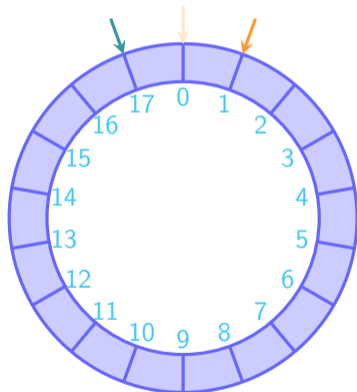
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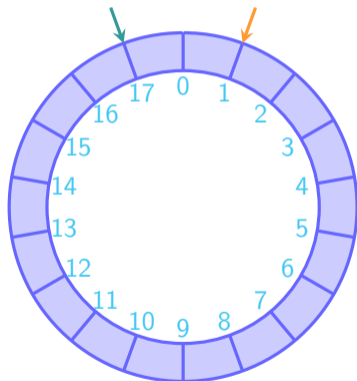
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State: $S_{rb} := \mathbb{U}^{\mathbb{N}} \times \mathbb{N} \times \mathbb{N}$

Initial State: $(\emptyset, 0, 0)$

fai Semantics:

$$(\emptyset, 1, 17) \xrightarrow{\text{fai}_2.17} (\emptyset, 1, 0)$$



Ring Buffer

Signature:

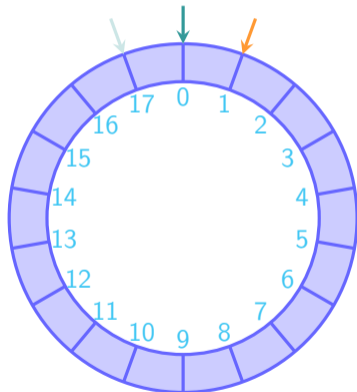
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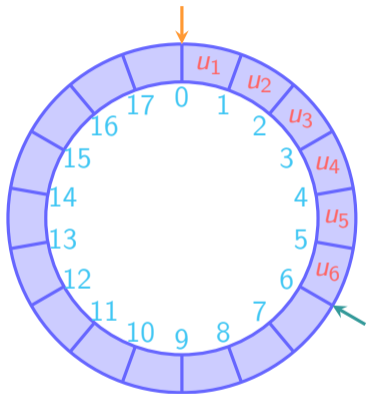
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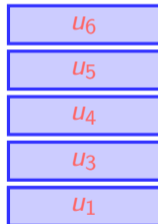
Implementing a Bounded Queue using a Ring Buffer



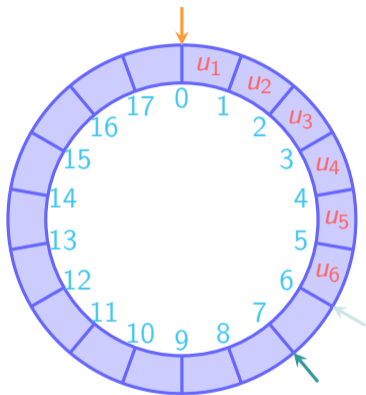
M

```
enq(u) {  
  i ← fai2();  
  set(i, u);  
  return ok  
}  
deq() {  
  i ← fai1();  
  get(i)  
}
```

enq(u_7)



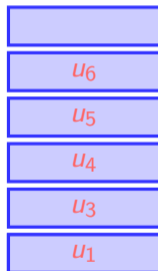
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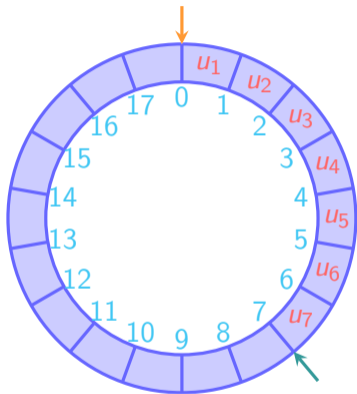
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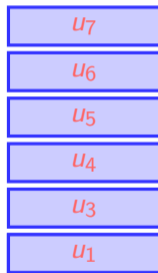
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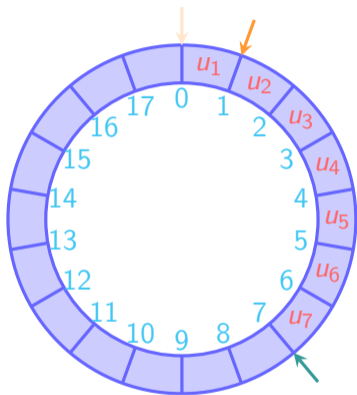
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```
enq(u) {  
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}  
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  i ← fai1();  
  get(i)  
}
```

enq(u_7).ok



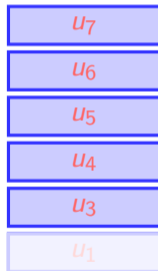
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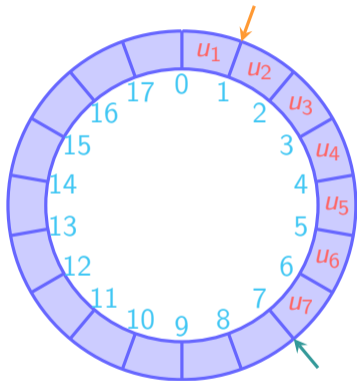
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}  
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  get(i)  
}
```

deq



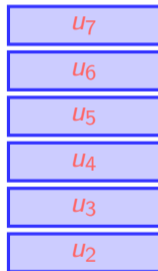
Implementing a Bounded Queue using a Ring Buffer



M

```
enq(u) {  
  i ← fai2();  
  set(i, u);  
  return ok  
}  
deq() {  
  i ← fai1();  
  get(i)  
}
```

deq. u_1



Outline

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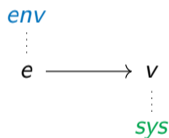
Concurrent Layers: $L = (E, R, V_E)$

Conclusion

Layer Signatures and Interactions

Example

Every effect signature E has an associated game, which for every $e \in E$ and $v \in \text{ar}(e)$, has a play:



$$E_{rb} := \{\text{set} : \mathbb{N} \times \mathbb{U} \rightarrow \mathbf{1}, \text{get} : \mathbb{N} \rightarrow \mathbb{U}\} \\ \cup \{\text{fai}_1 : \mathbf{1} \rightarrow \mathbb{N}, \text{fai}_2 : \mathbf{1} \rightarrow \mathbb{N}\}$$

$$\text{set}(i, u) \longrightarrow \text{ok}$$

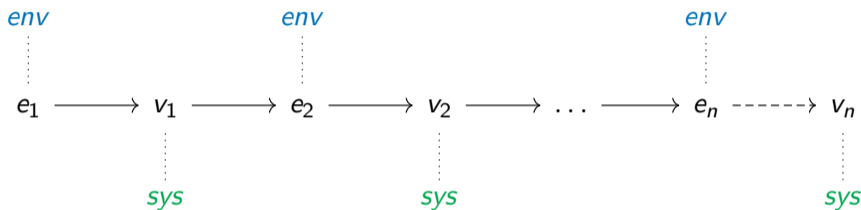
$$\text{get}(i) \longrightarrow u$$

$$\text{fai}_1 \longrightarrow v$$

$$\text{fai}_2 \longrightarrow v$$

The Replay Modality $\dagger E$

The game $\dagger E$ consists of plays:



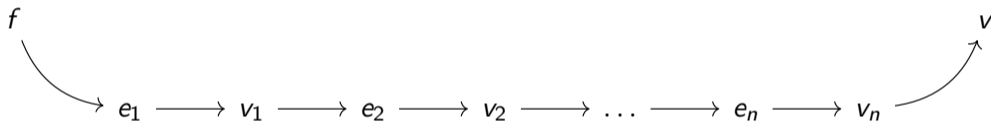
Example

A linear logic modality $\dagger E_{rb}$ describes plays as sequences of E_{rb} interactions:

- ▶ $fai_1 \longrightarrow 0 \longrightarrow fai_1 \longrightarrow 1 \longrightarrow fai_1 \longrightarrow 2$
- ▶ $fai_1 \longrightarrow 7 \longrightarrow get(3) \longrightarrow u_3 \longrightarrow set(2, u_2) \longrightarrow ok \longrightarrow fai_2 \longrightarrow 13$

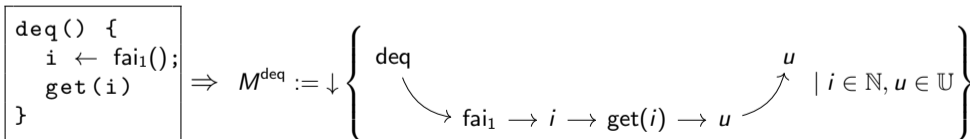
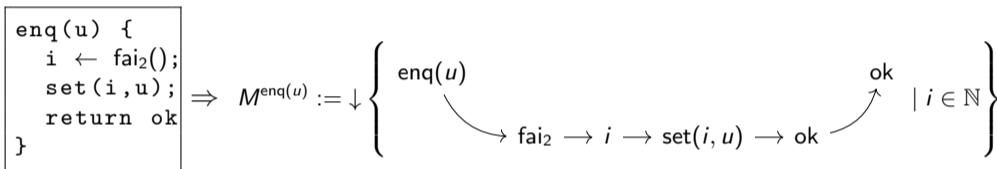
Implementation Plays: $\dagger E \multimap F$

Plays of $\dagger E \multimap F$ are of the form



Implementations: Example

$$M := \left(\bigcup_{u \in \mathbb{U}} M^{\text{enq}(u)} \right) \cup M^{\text{deq}}$$



Regular Extensions

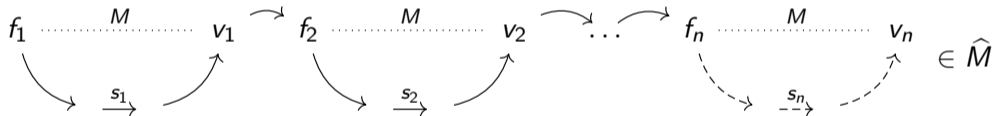
From an implementation:

$$M : \dagger E \multimap F$$

We build its regular extension:

$$\widehat{M} : \dagger E \multimap \dagger F$$

by



In general, approximately:

$$\widehat{M} \approx M^*$$

With local states implementations are stateless!

Implementations as Strategies

An implementation $M : E \rightarrow F$ is a **deterministic strategy** of type $\dagger E \multimap F$.
That is, M is a set of plays that is:

- ▶ **non-empty**,
- ▶ **prefix-closed**,
- ▶ **deterministic**

The Structure of the Replay Modality

The replay modality $\dagger-$ is a Comonad:

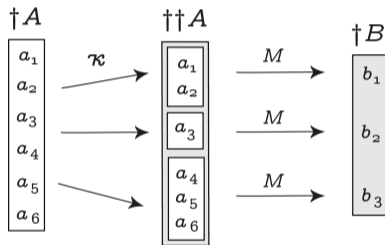
$$\epsilon_A : \dagger A \multimap A$$

$$\kappa_A : \dagger A \multimap \dagger\dagger A$$

The regular extension is just the Kleisli morphism:

$$M : \dagger A \multimap B$$

$$\dagger A \xrightarrow{\widehat{M}} \dagger B = \dagger A \xrightarrow{\kappa_A} \dagger\dagger A \xrightarrow{\dagger M} \dagger B$$



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Conclusion

Layer Specifications from State Transition Systems

How to get rid of state-based specifications?

Counter := {get : $\mathbf{1} \rightarrow \mathbb{N}$, inc : $\mathbf{1} \rightarrow \mathbf{1}$ }

State: $n \in S_{\text{Counter}} := \mathbb{N}$

Initial State: 0

Transitions: $n \xrightarrow{\text{get}.n} n$
 $n \xrightarrow{\text{inc.ok}} n + 1$



Denote the observable behaviors at state q as:

$$(S, \rightarrow)\#q$$

$$(S_{\text{Counter}}, \rightarrow_{\text{Counter}})\#0 = \{\epsilon, \text{get} \cdot 0, \text{inc} \cdot \text{ok}, \text{get} \cdot 0 \cdot \text{get} \cdot 0, \text{inc} \cdot \text{ok} \cdot \text{get} \cdot 1, \\ \text{get} \cdot 0 \cdot \text{inc} \cdot \text{ok}, \text{inc} \cdot \text{ok} \cdot \text{inc} \cdot \text{ok}, \text{get} \cdot 0 \cdot \text{inc} \cdot \text{ok} \cdot \text{get} \cdot 1, \dots\}$$

Layer Specifications from State Transition Systems

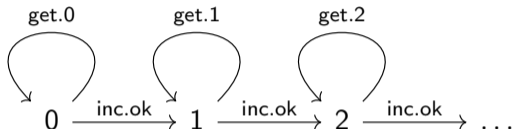
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Layer Specifications from State Transition Systems

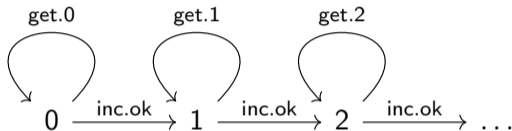
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Bounded Queue Specification: V_{bq}

Example (Bounded Queue)

Signature $E_{\text{bq}} = \{\text{enq} : \mathbb{U} \rightarrow \mathbf{1}, \text{deq} : \mathbf{1} \rightarrow \mathbb{U}\}$

States $S_{\text{bq}} = \mathbb{U}^*$

Initial State ϵ

Transitions

- ▶ $|\vec{q}| < N \Rightarrow \vec{q} \xrightarrow{\text{enq}(v).\text{ok}} \vec{q}v$
- ▶ $\vec{q} = v\vec{q}' \Rightarrow \vec{q} \xrightarrow{\text{deq}.v} \vec{q}'$

We can define a layer specification for E_{bq} as

$$V_{\text{bq}} := (S_{\text{bq}}, \rightarrow)\#\epsilon$$

Stateless Variable Specification: V_{Var}

Example

V_{Var} is the set of plays s of $\dagger\text{Var}$ satisfying:



$$s = \text{get} \longrightarrow v \longrightarrow \dots \quad \Rightarrow v = 0$$



$$s = \dots \text{get} \longrightarrow v_1 \longrightarrow \text{get} \longrightarrow v_2 \dots \quad \Rightarrow v_1 = v_2$$



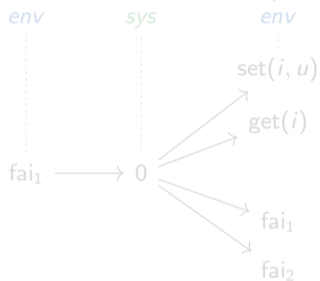
$$s = \dots \text{set}(n) \longrightarrow \text{ok} \longrightarrow \text{get} \longrightarrow v \dots \quad \Rightarrow v = n$$

Structure of Trace Sets

- ▶ Two sides: system and environment

- ▶ Interfaces play as system.

- ▶ The environment is unpredictable hence non-deterministic:



- ▶ The system is deterministic:

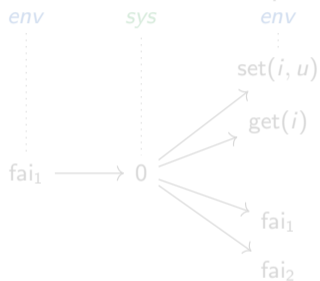


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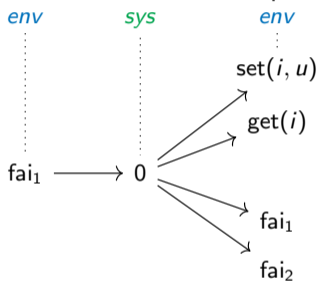


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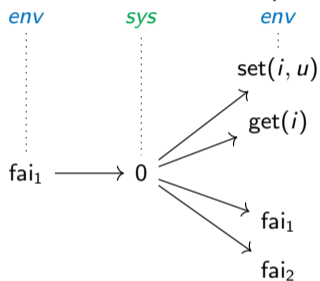


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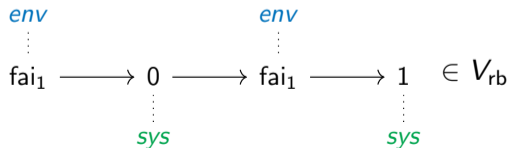
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Layer Specifications

A **layer specification** V_E over E is a **deterministic strategy** of type $\dagger E$.

That is, V_E is a set of $\dagger E$ plays that is:

- ▶ **non-empty,**
- ▶ **prefix-closed,**
- ▶ **deterministic**

Layer Interfaces

$$\begin{array}{c} \overline{\overline{M}} \\ \overline{\overline{L_2 = (F, V_F)}} \\ \overline{\overline{L_1 = (E, V_E)}} \end{array}$$

Definition

A **layer interface** is a pair $L = (E, V_E : \dagger E)$.

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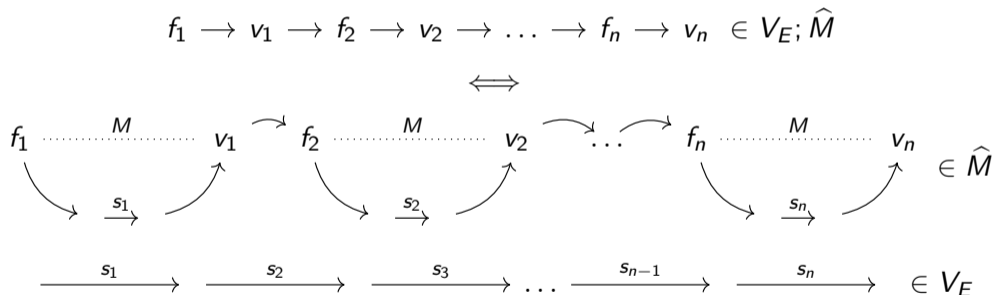
Non-Deterministic Layer Specifications: $L = (E, \mathcal{V}_E)$

Concurrent Layers: $L = (E, R, V_E)$

Conclusion

Certified Implementations

- ▶ $M : E_{rb} \rightarrow E_{bq}$ does not know rb semantics or bq semantics.
- ▶ Composing with V_{rb} “gives” the rb semantics to \hat{M} :



Certified Layer Implementations

Definition

A **certified layer implementation** $L_E \vdash M : V_F$ consists of:

Underlay:	Overlay:	Implementation:
$L_E = (E, V_E)$	$L_F = (F, V_F)$	$M : E \rightarrow F$

Correctness:
 $V_F \subseteq V_E; \hat{M}$

Example

$$(E_{rb}, V_{rb}) \vdash M : (E_{bq}, V_{bq})$$

“For every V_{bq} behavior there is some V_{rb} that can be used by M to implement the V_{bq} behavior”

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Non-Deterministic Layer Specifications

A **non-deterministic layer specification** \mathcal{V}_E for E is a non-empty set of $V_E : \dagger E$.

A **non-deterministic layer interface** is pair (E, \mathcal{V}_E) .

Example (Non-Deterministic rb Initialization)

- ▶ **Bounded queue:** $\mathcal{V}_{\text{bq}} := \{V_{\text{bq}}\}$
- ▶ **Ring buffer:**

Deterministic:

$$V_{\text{rb}} := L_{\text{rb}}\#(\emptyset, 0, 0)$$

Non-Deterministic:

$$\mathcal{V}_{\text{rb}} := \{L_{\text{rb}}^S\#(f, c, c) \mid f \in \mathbb{U}^N, c < N\}$$

Non-Deterministic Certified Abstraction Layers

Definition

A **non-deterministic certified abstraction layer** $L_E \vdash M : L_F$ consists of:

$$\begin{array}{lll} \text{Underlay:} & \text{Overlay:} & \text{Implementation:} \\ L_E = (E, \mathcal{V}_E) & L_F = (F, \mathcal{V}_F) & M : E \rightarrow F \end{array}$$

Correctness:

$$\forall V_E \in \mathcal{V}_E. \quad \exists V_F \in \mathcal{V}_F. \quad (E, V_E) \vdash M : (F, V_F)$$

Example

$$(E_{rb}, \mathcal{V}_{rb}) \vdash M : (E_{bq}, \mathcal{V}_{bq})$$

Outline

Introduction

Layer Signatures: E

Implementations: $M : \dagger E \multimap F$

Layer Interfaces: $L = (E, V_E)$

Certified Layer Implementations: $L_1 \vdash M : L_2$

Non-Deterministic Layer Specifications: $L = (E, \mathcal{V}_E)$

Concurrent Layers: $L = (E, R, V_E)$

Conclusion

Certified Concurrent Layers

- ▶ A **concurrent layer interface** is a triple (E, R, V_E) where R is a **coherent congruence** between plays of $\dagger E$.
- ▶ R is essentially a “determinism preserving” equational theory:

$$s \cdot e_1 \cdot v_1 \cdot e_2 \cdot v_2 \cdot t \quad R \quad s \cdot e_2 \cdot v_2 \cdot e_1 \cdot v_1 \cdot t$$

- ▶ Implementations work on equivalence classes under coherent congruences:

$$M : \dagger_R E \multimap \dagger_S F$$

$$[s]_R \mapsto [t]_S$$

Check paper for details!

Equational Theories and \dagger -Coalgebras

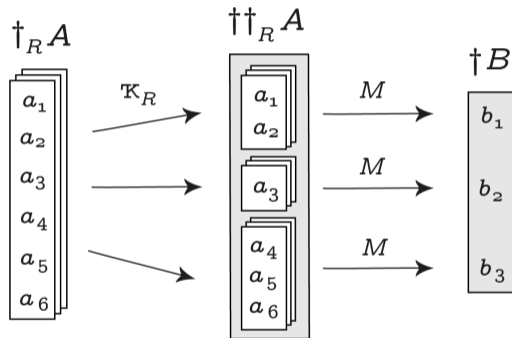
Given equational theory $R \in \mathbf{Rel}(\dagger A, \dagger A)$ we construct a \dagger -Coalgebra:

$$(\dagger_R A, \kappa_R : \dagger_R \multimap \dagger \dagger_R A)$$

Generalized regular extension:

$$M : \dagger_R A \multimap B$$

$$\dagger_R A \xrightarrow{\widehat{M}} \dagger B = \dagger_R A \xrightarrow{\kappa_A} \dagger \dagger_R A \xrightarrow{\dagger M} \dagger B$$



Certified Concurrent Layers

This allows us to:

- ▶ Reasoning up to the equational theory R
- ▶ Express independent products of layers

$$(E, R, V_E) \otimes (F, S, V_F) := (E \uplus F, R \otimes S, V_E \bullet V_F)$$

- ▶ Express specific patterns of state sharing (e.g. lock-based)
- ▶ Express the implementation of synchronization primitives (e.g. ticket lock)

Conclusion

- ▶ We have a novel way of building models of CAL
 - ▶ No explicit state
 - ▶ Rooted in linear logic
 - ▶ Extensible
- ▶ Promising direction in compositional semantics for reasoning about large systems.
- ▶

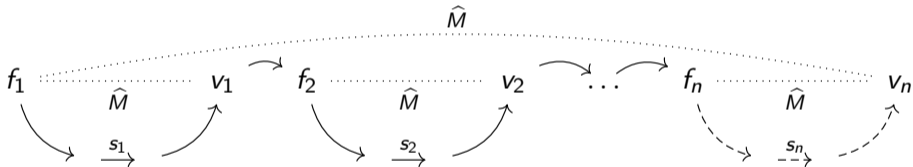
New CAL [POPL '22] $\xrightarrow{\text{Semantics}}$ DeepSEA [OOPSLA '19] $\xrightarrow{\text{Compilation}}$ CompCertO [PLDI '21]

Layer Implementations are Regular Maps

Implementations are regular maps:

$$\widehat{M} : \dagger E \multimap \dagger F$$

Regular maps are linear maps that are “replayable”:



Theorem (Reddy)

$$\dagger E \xrightarrow{\text{Reg}} \dagger F \cong \dagger E \multimap F$$

Layer Specifications are Stateful

$$V_{\text{Counter}} = \{\epsilon, \text{get}, \text{inc}, \text{get} \cdot 0, \text{inc} \cdot \text{ok}, \text{inc} \cdot \text{ok} \cdot \text{get}, \text{inc} \cdot \text{ok} \cdot \text{get} \cdot 1, \dots\}$$

State as Past

$$S_E = \text{plays of } V_E \quad \text{Initial: } \epsilon \quad s \xrightarrow{e.v} s \cdot e \cdot v \iff s \cdot e \cdot v \in V_E$$

